

1                   **The 2023/24 El Niño and the Feasibility of Long-Lead ENSO**  
2   **Forecasting**

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16 ABSTRACT

17 Through its atmospheric teleconnections, El Niño Southern Oscillation (ENSO) shifts  
18 and disrupts weather and climate patterns far beyond the equatorial Pacific where it  
19 occurs, and occasionally has catastrophic consequences in many countries of the world.  
20 It is also the largest source of seasonal and interannual climate predictability. Despite  
21 its huge importance, ENSO forecasting is still not performed operationally at longer  
22 leads than about 6 months ahead. At the same time, there is mounting scientific  
23 evidence that forecasts are possible even more than a year in advance. Early warning  
24 of ENSO could substantially mitigate and help avoid some of the most damaging  
25 impacts, such as floods, droughts, harvest failure, famine, migration and disease  
26 outbreaks. Here, we present forecasts from a statistical ENSO model of the next El Niño  
27 predicted to occur in the winter of 2023/24, at lead times between 11 and 17 months  
28 ahead of an expected peak in December 2023. We use a statistical unobserved dynamic  
29 components model (EDCM) based on subsurface ocean temperatures as well as sea  
30 surface temperatures and zonal wind stress. EDCM has been previously validated  
31 through hindcasts of the major El Niños since 1970, and through real time forecasts of  
32 the 2015/16 and 2018/19 El Niños. Our statistical method and results indicate that there  
33 is potential for doubling the operational predictive lead time of ENSO to at least 12  
34 months, with additional promise for even earlier anticipation of 19 months. Such  
35 longer-lead forecasts could be of high value, because decision-making and management  
36 in a number of key socio-economic sectors could be greatly improved.

37 SIGNIFICANCE STATEMENT

38 We employ a statistical ENSO model and show early forecasting of the 2023 El Niño  
39 (EN). Early forecasts initiated in May and July 2022 predicted a mild EN. Forecasts  
40 initiated later, in November 2022 and January 2023, predicted a moderate EN, with a  
41 strong event falling within the 70% confidence intervals. This work confirms that  
42 statistical long-lead ENSO forecasts are feasible, and should be developed further in  
43 advance of the operational threshold of 6-8 months. Such forecasts are of high value  
44 for agriculture, water management, disaster reduction, public health and energy  
45 production in countries affected by ENSO. More so, a strong EN could lead to a  
46 temporary breach of the 1.5°C threshold for global mean temperature increase set in  
47 the Paris Climate Agreement.

We predicted that El Niño will occur in the winter of 2023/24 at longer lead times (11-17 months in advance) compared to the operational forecasts of 6-8 months ahead.

## 1. Introduction

El Niño Southern Oscillation (ENSO) and its predictability is a subject of widespread scientific and societal interest, both because of its complexity as the dominant atmosphere-ocean coupled mode of climate variability (Wyrski, 1975, Philander 1983), and its links to multiple climate hazards worldwide (Sarachik and Cane, 2010). A large number of ENSO prediction models have been described in the literature, and the operational ENSO forecasting plume includes contributions from many statistical and dynamical models (Barnston et al. 2012). Official forecasts are issued and reported regularly by the International Research Institute for Climate and Society Earth Institute (IRI, <https://iri.columbia.edu/our-expertise/climate/enso/>), and by the National Atmospheric and Oceanic Administration and the Climate Prediction Center (NOAA/CPC, [https://www.cpc.ncep.noaa.gov/products/analysis\\_monitoring/enso\\_advisory/](https://www.cpc.ncep.noaa.gov/products/analysis_monitoring/enso_advisory/)) about two seasons in advance. However, ENSO forecasting is currently not performed operationally at longer lead times (beyond 6 months in advance), despite the growing number of studies indicating that a longer predictability range is feasible (Cane et al. 1986; Goswami and Shukla 1991; Latif et al. 1998; Chen and Cane 2008; Wittenberg et al. 2014; Gonzalez and Goddard 2016; Luo et al. 2016; DiNezio et al. 2017; Astudillo et al. 2017). Given that the ENSO forecasts are also the largest source of seasonal precipitation and temperature predictability for the Pacific and North Atlantic regions, North and South America, Australia, the Maritime Continent, and parts of Asia and Africa (Ropelewski and Halpert 1987, Rodó et al. 2006, Sarachik and Cane 2010, Kumar et al. 2017, L'Heureux et al. 2020), early anticipation through long-lead forecasts could have huge economic, societal and health benefits that are currently underutilized.

A handful of studies have already documented long-lead forecasts of past ENSO events (Latif et al. 1998; Chen et al. 2004; Luo et al. 2008; Izumo et al. 2010; Ludescher et al. 2013, 2014; Petrova et al. 2017; Gonzalez and Goddard 2016; Ramesh et al. 2017; Luo

79 et al. 2017; Meng et al. 2020, Petrova et al. 2020), with the majority of these being  
80 based on dynamical models. In the 1980s and 1990s substantial efforts were made to  
81 implement a monitoring system within the Tropical Ocean Global Atmosphere (TOGA)  
82 Program, formed by a three-dimensional array that regularly samples the surface and  
83 subsurface temperature, salinity and circulation in the tropical Pacific, with the specific  
84 aim to understand the physical mechanisms of ENSO better, and to improve its  
85 prediction (McPhaden and Yu 1999). As a result, forecasts from dynamical models  
86 have significantly improved since 1985. However, the majority of the operational  
87 statistical models do not utilize the more detailed subsurface information provided by  
88 the observation system, which could effectively help improve predictions further  
89 (Barnston et al. 2012). A novel dynamic components statistical ENSO model (EDCM)  
90 was developed and described by Petrova et al. 2017 and 2020 which, along with surface  
91 zonal wind stress and temperature, specifically samples subsurface temperature  
92 information from the western and central equatorial Pacific Ocean (WPAC and CPAC)  
93 to predict ENSO events at lead times beyond one year in advance. The EDCM has  
94 successfully hindcasted all major El Niños (EN) since 1970 at leads of up to about 2  
95 years in advance (Petrova et al. 2020), demonstrating that such predictive lead times  
96 are possible also for statistical models. Moreover, the last few EN, i.e. the 2014-2016,  
97 and the 2018-2020 events were predicted in operational forecasting mode (see Petrova  
98 et al. 2017, Lowe et al. 2017, Petrova et al. 2020, Petrova et al. 2021). These long-lead  
99 EN forecasts were used within a dengue fever incidence model for the city of Machala  
100 in Ecuador, to assess the probability of a dengue outbreak up to 11 months in advance  
101 (Lowe et al. 2017, Petrova et al. 2021). In this way, EDCM was not only tested in real-  
102 time, but its potential to extend the forecast lead time of a climate-sensitive disease was  
103 also demonstrated.

104 When the first ENSO forecasts became a reality in the second half of the 1980s, it also  
105 became obvious that such predictions could facilitate the generation of seasonal climate  
106 forecasts, as well as their application for practical uses (Buizer et al. 2009). ENSO  
107 associated droughts and flooding worldwide could be predicted some months in  
108 advance, and the hope was that these predictions could help, for example, vulnerable  
109 farming communities to prepare and plant more resilient crops, and governments to  
110 save precious resources when planning for response to natural hazards. Nowadays,  
111 many institutions around the globe (including IRI) tailor and provide climate

112 information and decision support systems on seasonal and interannual time scales to  
113 the agricultural, health, energy, insurance, disaster reduction and other sectors of  
114 society impacted by climate variability and change. However, despite the immense  
115 practical utility of ENSO forecasts, attempts to issue predictions at longer lead times,  
116 beyond the traditional 6 months in advance, have only been restricted to the scientific  
117 undertaking, and none are issued on an operational level yet.

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119 In the present study we use EDCM to perform in real-time statistical predictions of the  
120 temperatures in the eastern equatorial Pacific for the winter of 2023/24 at increasing  
121 lead times of up to 19 months in advance, and we investigate the potential of such longer  
122 lead forecasts in assisting the climate impact community, decision-makers around the  
123 world, and ultimately society, in alleviating the negative impacts of ENSO. We describe  
124 the predictors and the dynamic components of the model in Section 2. We summarize  
125 the ENSO forecasts in Section 3, and discuss the results and implications of these longer  
126 leads for climate impacts and services in Section 4.

127

## 128 **2. Methods**

129 Here we apply EDCM, the statistical ENSO time series prediction model, based on  
130 dynamic unobserved components derived from the decomposition of the Niño3.4  
131 temperature time series, and described in detail in Petrova et al. 2017 and 2020, to  
132 predict the monthly temperature in the Niño3.4 region ( $[5^{\circ}\text{N} - 5^{\circ}\text{S}] \times [170^{\circ} - 120^{\circ}\text{W}]$ )  
133 for the 2023/24 boreal winter season. The model includes a trend component, a seasonal  
134 component, and three cyclical components with different frequencies and variances, as  
135 well as a number of regression (predictor) variables, and a noise term. Given that the  
136 model includes a trend component, a detrending of the Niño3.4 temperature and  
137 predictor time series is not necessary. The trend, seasonal, and cycle components are  
138 represented as linear dynamic stochastic processes driven by disturbances (Harvey and  
139 Koopman 2000, Durbin and Koopman 2012). The cycle components are estimated with  
140 periods corresponding to near-annual (NA), quasi-biannual (QB) and quasi-quadrennial  
141 (QQ) frequencies, which are typical modes of ENSO variability discussed at length in  
142 the literature (see Petrova et al. 2017 and 2020 and the references therein). The signal

143 extraction of the different components, the likelihood evaluation, and the actual ENSO  
144 forecasting are achieved by means of the Kalman Filter (Kalman 1960). The statistical  
145 estimations and forecast method are implemented and carried out by the software  
146 packages STAMP and OxMetrics (Koopman et al. 2008 and 2010, Doornik 2013).

147 Predictions are initiated at particular lead times with respect to December 2023 (as it is  
148 generally assumed that ENSO events peak around December). We look at predictive  
149 lead times beyond boreal spring, in order to test the skill of the model to overcome the  
150 well-known ENSO spring “predictability barrier” (Torrence and Webster 1998,  
151 Sarachik and Cane 2010). Therefore, forecasts are initiated between 11 and 19 months  
152 ahead of a presumed December 2023 ENSO peak, i.e. between the months of May 2022  
153 and January 2023. As discussed in detail in Petrova et al. 2017, EDCM makes use of  
154 different predictors at different lead times, ranging from subsurface temperature at  
155 different depth levels, sea surface temperatures (SST), as well as zonal wind stress  
156 (Supplementary Tables S1 and S2). These predictor indices (their time series) are based  
157 on the general progression of a typical El Niño event (EN), and are extracted from  
158 predefined regions in the western and central equatorial Pacific (WPAC and CPAC;  
159 Petrova et al. 2017). Intensification of the trade winds and a subsurface heat buildup in  
160 the WPAC, and its slow subsurface migration towards the CPAC, along with westerly  
161 wind bursts at a later stage, are well-known to play a key role in the onset of El Niño  
162 events (Wyrтки 1985; Cane et al. 1986; Jin 1997; Clarke and Van Gorder 2003;  
163 McPhaden 2003, 2004; McPhaden et al. 2006; Ramesh and Murtugudde 2013; Ballester  
164 et al. 2015 and 2016a; Petrova et al. 2017). In this regard, EDCM benefits from  
165 available subsurface data to represent in detail these dynamical processes and their  
166 interactions. In addition to these predictor variables, we also use a previously identified  
167 SST dipole pattern in the extratropical South Pacific called the RossBell dipole (RB  
168 SST) as an ENSO predictor at a lead time of 11 months (i.e. for the forecast started in  
169 January 2023). The dipole was first defined in Ballester et al. 2011, and it represents a  
170 difference in SST warm and cold anomalies preceding EN events near the Ross and  
171 Bellingshousen Seas, respectively (over the boxes  $[65^{\circ} - 50^{\circ}\text{S}] \times [180^{\circ} - 160^{\circ}\text{W}]$  and  
172  $[65^{\circ} - 50^{\circ}\text{S}] \times [100^{\circ} - 80^{\circ}\text{W}]$ ). Its potential as an ENSO predictor at a lead time of  
173 between 7-11 months has been discussed therein and in Petrova et al. 2024. In particular  
174 RB peaks are followed by EN events approximately 9 months later. On the other hand,  
175 RB is anticipated by warming in the western equatorial Pacific about an year in

176 advance. Namely, the western equatorial surface warming triggers an intensification of  
177 the local convection and upper tropospheric divergence, generating an eastward and  
178 poleward propagating atmospheric wavetrain in the southern extratropics (Ballester et  
179 al. 2011, Cvijanovic et al. 2017). This wavetrain triggers the local SST anomalies that  
180 form the RB dipole in the south Pacific. RB has also been used to predict other EN  
181 events within EDCM such as the 2009/10 and the 2015/16 EN (not shown).

182 For SST data we used the *NOAA OISST V2* (Reynolds et al. 2002;  
183 [http://www.emc.ncep.noaa.gov/research/cmb/sst\\_analysis/](http://www.emc.ncep.noaa.gov/research/cmb/sst_analysis/)); for subsurface  
184 temperature data the Hadley Centre EN4.2.2 analyses data with the .g10 bias correction  
185 (Good et al. 2013, Gouretski and Reseghetti 2010, Gouretski and Cheng 2020); and for  
186 the calculation of zonal wind stress we used the NCEP-NCAR reanalysis wind data  
187 (Kalnay et al. 1996).

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### 190 **3. Results**

#### 191 *a. Climate conditions in the tropical Pacific in 2022 and the beginning of* 192 *2023*

193 Figure 1a shows the average SST anomalies for the months between July and October  
194 2022. Visible are the cold La Niña-related anomalies in the EPAC and CPAC, as well  
195 as a prominent warm anomaly in the North Pacific. Of interest here is the less intense,  
196 but significant warm anomaly (Supplementary Fig. 1a shows the standardized SST  
197 anomalies) in the far WPAC, extending south of the equator (selected by the red box).  
198 It has been shown previously that warm SST anomalies in this area typically precede  
199 El Niño events on average about 14-18 months in advance (Ballester et al. 2011 and  
200 2016a, Petrova et al. 2017). The region highlighted in the red box in Figure 1a is the  
201 region from which we extract SSTs to use as a predictor in EDCM. We highlight that  
202 the grid-point maximum warm anomaly inside the box reaches  $\sim 2^{\circ}\text{C}$ , and is located  
203 just to the south of the equator. The time series of this predictor index is shown in Figure  
204 1c. Since the index represents an average temperature value over the whole box, it

205 indicates a lower value than 2°C. The blue arrows highlight the warm anomaly peaks  
206 that preceded past EN events, and a peak is also highlighted in July-October of 2022.

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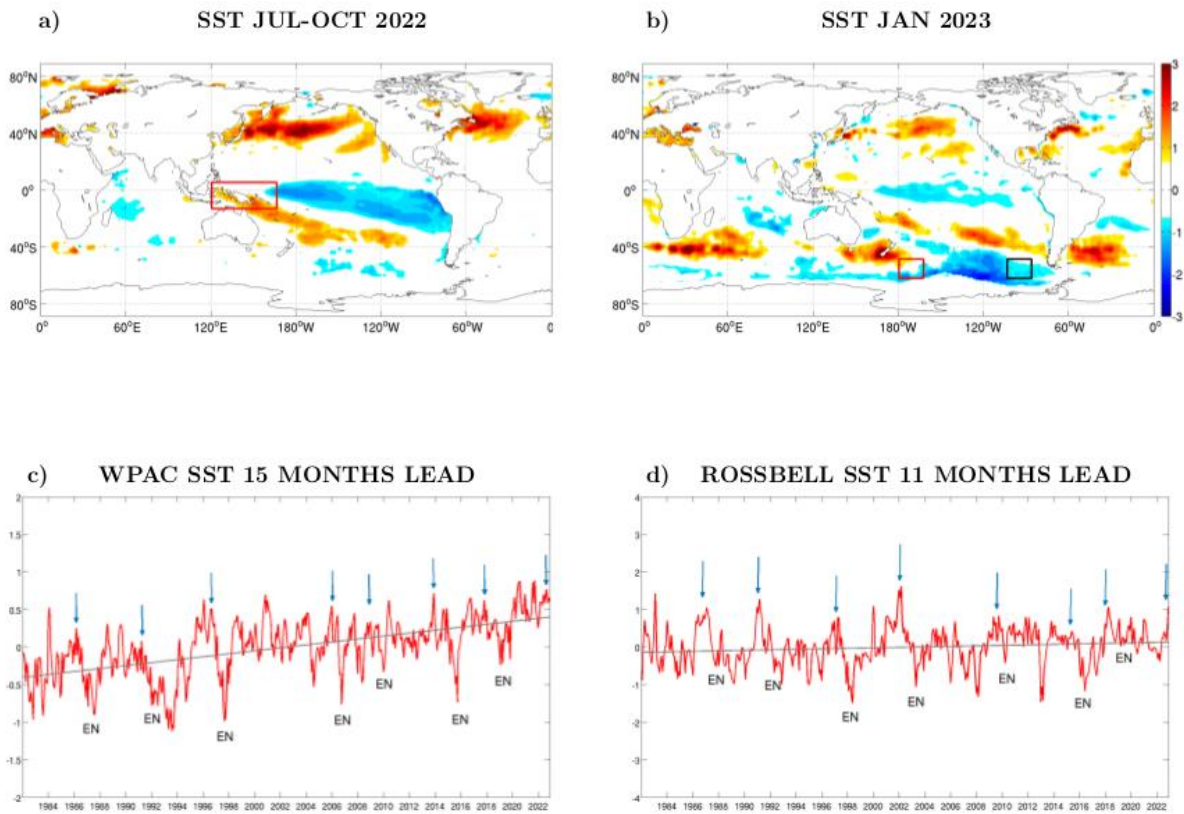
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**Fig. 1: Surface oceanic conditions in 2022 and the beginning of 2023 conducive to El Niño, and SST time series of the predictors used in the EDCM model at lead times of 15 and 11 months.** a) and b) Sea surface temperature (SST) anomalies [°C], c) SST anomalies

226 extracted from the box [10°S-0°]x[140°-160°E], d) RossBell SST index. Red and black boxes  
227 in panels a-b include regions from which SST predictors have been extracted for EDCM at  
228 different lead times with respect to the winter 2023/24 peak season. Arrows in panels c-d  
229 indicate the time when a predictor is used for forecasting. “EN” labels indicate the time of peak  
230 El Niño conditions. Linear trend lines are included for the time series in panels c) and d) as  
231 grey solid lines. The period used to calculate a climatology is 1982-2022.

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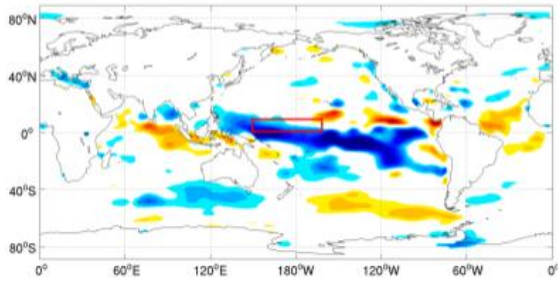
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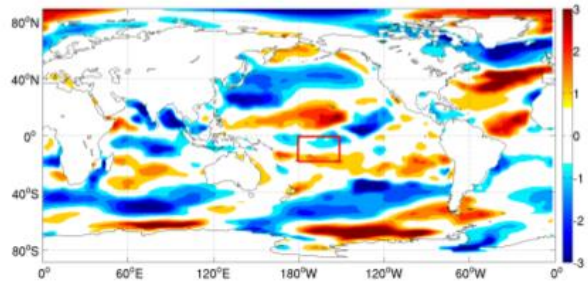
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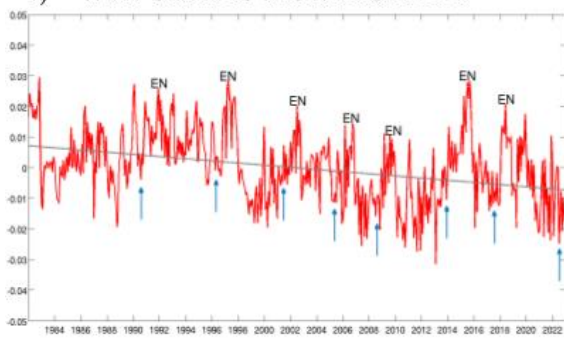
a) Zonal Wind Stress MAY-SEP 2022



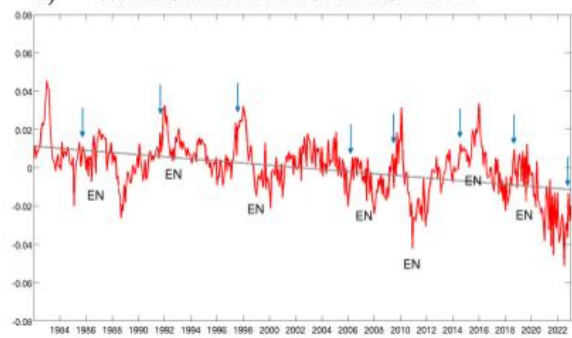
b) Zonal Wind Stress MAR 2023



c) Wind Stress 15 MONTHS LEAD



d) Wind Stress 11 MONTHS LEAD



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255 **Fig. 2: Surface atmospheric conditions in 2022 and the beginning of 2023**  
256 **conducive to El Niño, and zonal wind stress time series of the predictors used in**  
257 **the EDCM model at lead times of 15 and 11 months.** a) and b) Standardized zonal wind  
258 stress anomalies [standard deviation], c) zonal wind stress [ $\text{N/m}^2$ ] extracted from the box [ $0^\circ$ -  
259  $10^\circ\text{N}$ ] $\times$ [ $160^\circ$ - $200^\circ\text{E}$ ], d) zonal wind stress [ $\text{N/m}^2$ ] extracted from the box [ $10^\circ\text{S}$ - $0^\circ$ ] $\times$ [ $180^\circ$ -  
260  $210^\circ\text{E}$ ]. Red boxes in panels a-b include regions from which zonal wind stress predictors have  
261 been extracted for EDCM at different lead times with respect to the winter 2023/24 peak season.  
262 Arrows in panels c-d indicate the time when a predictor is used for forecasting. “EN” labels  
263 indicate the time of peak El Niño conditions. Linear trend lines are included for the time series  
264 in panels c) and d) as grey solid lines. The period used to calculate a climatology is 1982-  
265 2022.

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268 Similarly, Figure 1b shows the SST anomalies in January 2023, and the RB dipole  
269 feature in the South Pacific is captured by the red and black boxes. The boxes do not  
270 encompass the areas of the strongest warm and cold anomalies, but we note that these  
271 anomalies generally progress eastwards with the evolution of EN, and peak in the two  
272 boxed regions about 7-9 months before the ENSO peak (i.e. in the months of March-  
273 May; Ballester et al. 2011). At the time of writing, the data is only available until  
274 January 2023, but it can be inferred from Figure 1b (Supplementary Fig. 1b) that the  
275 anomalies may be better captured by our boxes in the months of March-May 2023, as  
276 a result of the general eastward propagation of these features (Ballester et al. 2011).  
277 The RB dipole time series is shown in Figure 1c and a prominent peak is also  
278 highlighted at the very end of the time series in January 2022.

279 Standardized zonal wind stress anomalies in 2022 and the beginning of 2023 are  
280 depicted in Figure 2, panels a and b. Panel a shows that strong easterly wind anomalies  
281 occurred in the period between May and September of 2022, peaking in the far WPAC  
282 region, as well as south of the equator towards the CPAC and EPAC. Strong easterly  
283 wind anomalies at these locations precede EN events on average by about 15-20 months  
284 in advance. Although our red box does not include the entire area of strong trade wind  
285 anomalies, it does capture a significant portion of it. The zonal wind stress time series  
286 extracted from the box-marked region is shown in Figure 2c. A large trough indicative

287 of easterly wind bursts is highlighted in the autumn months of 2022, also signaling the  
288 potential for a forthcoming EN event. We note that the biggest troughs in Figure 2c are  
289 not always associated with EN events. The EDCM predictive framework includes other  
290 statistical criteria to pinpoint the lead time at which a given predictor is the most  
291 significant. In January of 2023 (Figure 2b) the extended warm SST anomalies in the  
292 WPAC region generated some westerly wind anomalies in the south, but also in the  
293 north off-equatorial regions in the CPAC, features that are typical about 7-11 months  
294 prior to EN events (Eisenman et al. 2005). The red box in Figure 2b captures some of  
295 these westerly wind burst anomalies. The time series of the predictor extracted from  
296 this box is shown in Figure 2d, and a peak associated with westerly wind bursts at the  
297 end of the time series in December-January 2022/23 is highlighted.

298 Figure 3 depicts the subsurface temperature anomalies at different depths and in  
299 different months between May and October 2022, along with boxes from which  
300 predictors for EDCM were extracted. Strong warm anomalies in the WPAC are  
301 observed between 150-300 meters depth in May, July and September of 2022, which  
302 gradually progress eastward and are already stronger in the CPAC subsurface in  
303 October 2022 (Figure 3g). The subsurface temperature time series predictors extracted  
304 from the black boxes in Figure 3a and g are shown in Figure 3b and d, and the predictors  
305 used at 12 and 13 months leads are also shown in Figure 3f and h. As seen in all panels  
306 b, d, f and h of Figure 3, prominent and sustained positive peaks occur in all the time  
307 series of subsurface temperature anomalies from the spring to the winter months of  
308 2022. Such strong subsurface warm anomalies always precede EN events by on average  
309 10-20 months (McPhaden 2004, Ramesh and Murtugudde 2013, Petrova et al. 2017).  
310 Thus, climate conditions broadly spanning the spring, summer, autumn and winter  
311 months of 2022/23 are collectively prime for a forthcoming EN event in the winter of  
312 2023/24.

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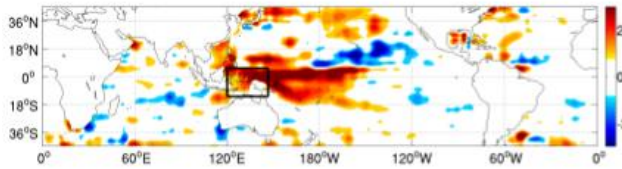
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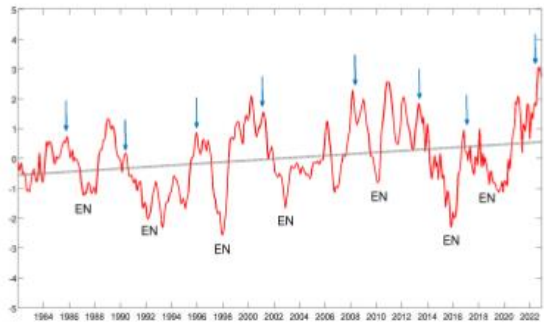
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# Subsurface Temperature Predictors

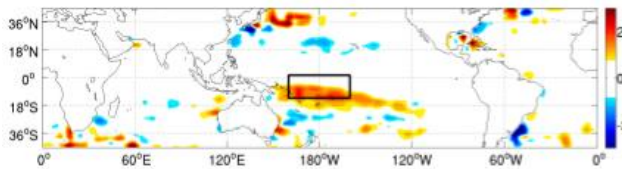
a) 19 MONTHS LEAD/MAY 2022 (150m. DEPTH)



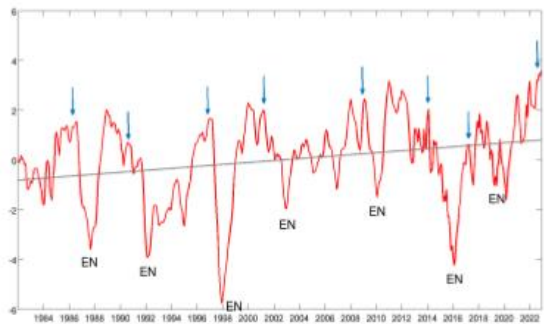
b) 150mR1 19 MONTHS LEAD



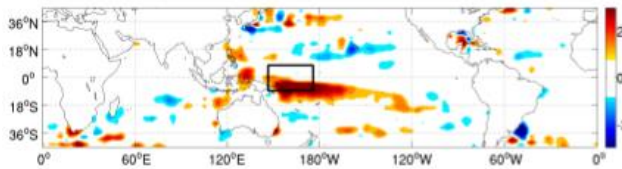
c) 17 MONTHS LEAD/JUL 2022 (300m. DEPTH)



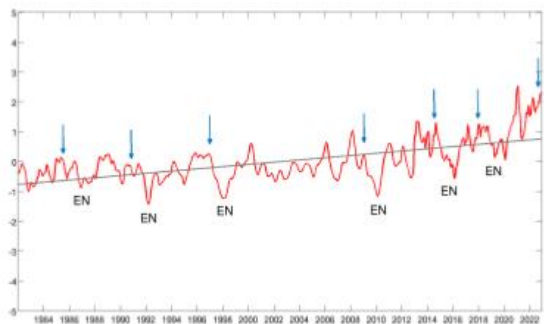
d) 150mR2 14 MONTHS LEAD



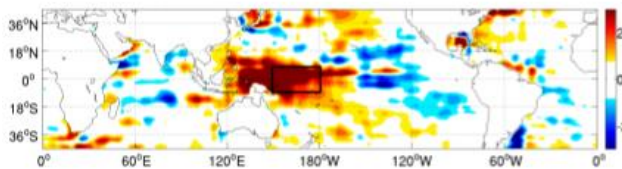
e) 15 MONTHS LEAD/SEPT 2022 (250m. DEPTH)



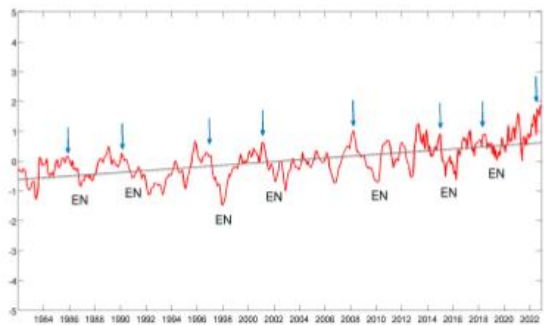
f) 250mR2 13 MONTHS LEAD



g) 14 MONTHS LEAD/OCT 2022 (150m. DEPTH)



h) 250mR1 12 MONTHS LEAD



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320 **Fig. 3: Subsurface oceanic conditions in 2022 conducive to El Niño, and subsurface**  
321 **temperature time series of the predictors used in the EDCM model at different**  
322 **lead times.** a) Temperature anomalies at 150 meters depth in May 2022, b) time series of  
323 subsurface temperature at 150 meters depth extracted from the box [10°S-5°N]x[120°-140°E],  
324 c) temperature anomalies at 300 meters depth in July 2022, d) time series of subsurface  
325 temperature at 150 meters depth extracted from the box [7°S-7°N]x[150°-180°E], e)  
326 temperature anomalies at 250 meters depth in September 2022, f) time series of subsurface  
327 temperature at 250 meters depth extracted from the box [7°S-7°N]x[140°-170°E], g)  
328 temperature anomalies at 150 meters depth in October 2022., h) time series of subsurface  
329 temperature at 250 meters depth extracted from the box [7°S-7°N]x[120°-140°E]. Black boxes  
330 in panels a, c, e and g indicate regions from which predictor time series for the model were  
331 extracted. Arrows in panels b, d, f and h indicate the time when a predictor is used for  
332 forecasting. “EN” labels indicate the time of peak El Niño conditions. Linear trend lines are  
333 included for the time series in panels b), d), f) and h) as solid grey lines.

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### 336 *b. ENSO forecasts for 2023/24*

337 Figure 4 shows forecasts of SST anomalies in the Niño3.4 region initiated in January  
338 2023 (Figure 4a), September-December 2022 (Figure 4b-e), and July 2022 (Figure 4f),  
339 corresponding to leads from 11 to 17 months along with updated observations ( black  
340 solid line) until June 2024. The observed Niño3.4 values point to a moderate-to-strong  
341 EN that peaks in December 2023. All forecasts in Figure 4 predict an EN to mature in  
342 the winter of 2023/24 (Supplementary Table 3). Forecasts initiated at lead times  
343 between 11-14 months foresee a moderate warm event, but a larger EN of about 2°C  
344 amplitude is within the 70% confidence intervals of the predictions. The actual  
345 observed peak was 1.99°C. The forecast closest to this amplitude is the one issued 13  
346 months in advance predicting a peak of 1.20°C (Supplementary Table 3). Longer-lead  
347 forecasts initiated 15 to 19 months in advance (Figure 4e and f and Supplementary  
348 Figure 2a) predict a weak EN for the winter of 2023/24. However, forecasts initiated  
349 22 and 24 months in advance of an assumed peak in December 2023 (i.e. in the months

350 of February 2022 and December 2021) do not predict an EN event, and show neutral  
351 conditions in the tropical Pacific instead (Supplementary Figure 2b and c), suggesting  
352 we reached a limit of feasible lead times. It is well-known especially for statistical  
353 ENSO models that the prediction of the amplitude of an event is harder and less accurate  
354 with the increase of the lead time (Barnston et al. 2012, Petrova et al. 2017, 2020 and  
355 references therein). Hence, predictions started so long in advance are likely to be  
356 incorrect, unless a very strong ENSO event is developing in the tropical Pacific. For  
357 example, in Figure 9e of Petrova et al. 2017 it can be seen that EDCM did not predict  
358 the weak 2014/15 EN at the very long lead times of 22-24 months, as opposed to Figure  
359 4 in Petrova et al. 2020, where all strong EN events are successfully predicted even at  
360 the longer leads of 21 and 29 months in advance, albeit with a smaller amplitude than  
361 observed. We also highlight that EDCM makes use of different predictors at different  
362 lead times, and it could happen that a given predictor affected the forecast more/less  
363 strongly, and this could directly impact the amplitude of the predicted event. This could  
364 explain why EDCM predicted a higher amplitude event at 13 months, as opposed to 11  
365 or 12 months lead time. We have seen a similar situation with the prediction of the  
366 1997/98 EN for example (see Figure 9a and 9f in Petrova et al. 2017, where the  
367 amplitude of the event is better predicted at the very long lead times as opposed to the  
368 medium lead times). Additionally, a deterioration of the forecast precision is observed  
369 when predictions are initiated closer to the “spring predictability barrier” due to the  
370 general decrease of the signal-to-noise ratio, hence, the lower amplitudes predicted at  
371 11 and 12 months lead time. However, the forecasted amplitudes at these leads are still  
372 greater than those predicted beyond 13 months in advance. Finally, here we used the  
373 version of EDCM that features a fixed seasonal cycle, which could explain the delay  
374 by a couple of months in the predicted peaks at all lead times (see Petrova et al. 2017  
375 for more details on this issue).

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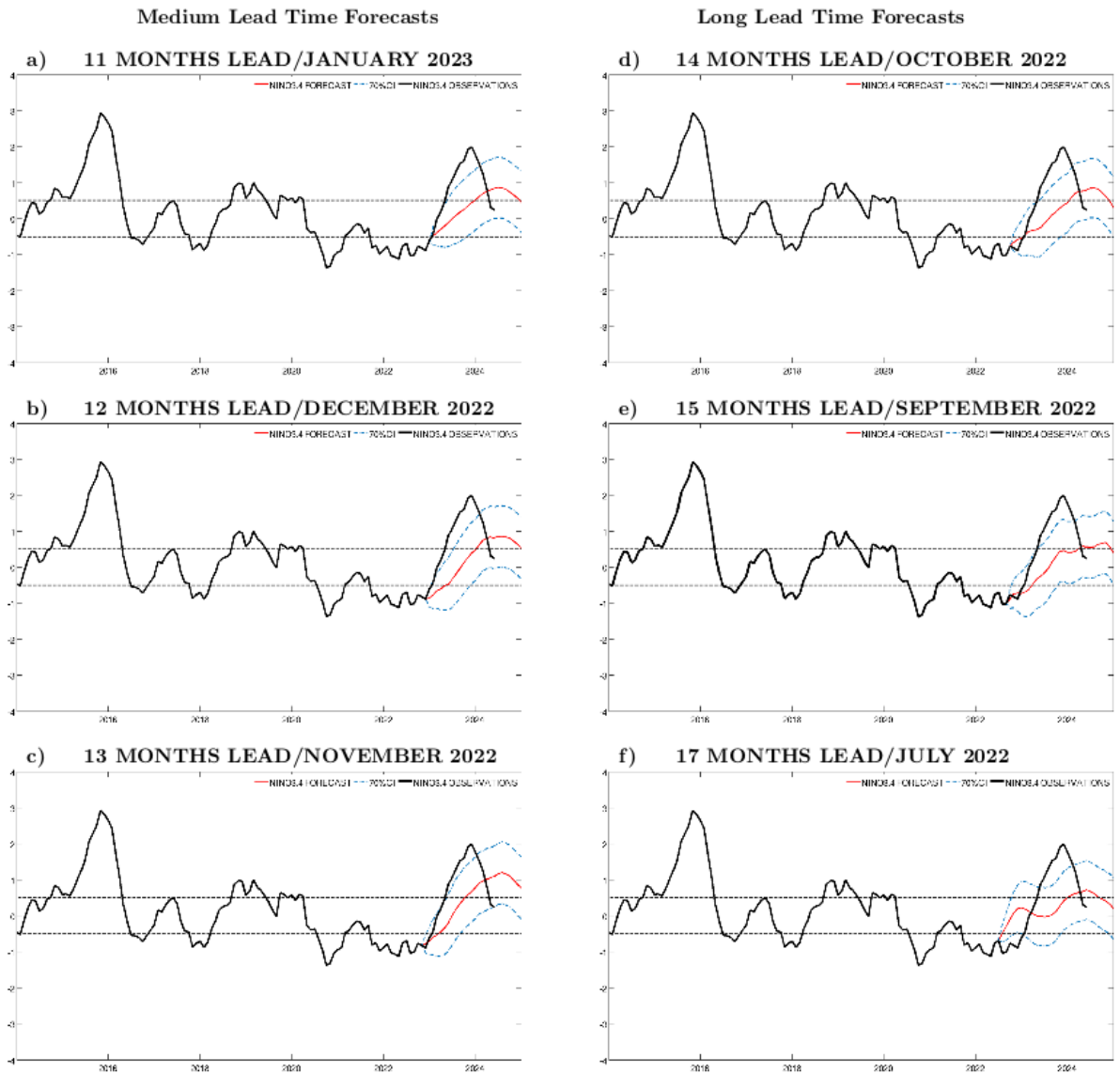


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**Fig. 4: Forecasts of the 2023/24 temperature anomalies [°C] in the Niño3.4 region.** Forecast started in a) January 2023, b) December 2022, c) November 2022, d) October 2022, e) September 2022, f) July 2022. In all panels the thick black line is the observations (shown until

388 June 2024), the red line is the forecast, the blue dash-and-dotted lines are the 70% confidence  
389 intervals, and the black dotted lines are the  $-0.5^{\circ}\text{C}$  and  $+0.5^{\circ}\text{C}$  threshold for La Niña and El  
390 Niño, respectively.

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#### 393 **4. Discussion and Conclusions**

394 ENSO is the principal driver of climate variability, and has the potential to trigger  
395 weather- and climate-related natural and societal disasters worldwide. Climate  
396 vulnerability and the socio-economic consequences in regions where ENSO  
397 teleconnections are especially strong could be substantially reduced with the evolution  
398 of ENSO forecasts, and society has a lot to gain if ENSO predictions can be extended  
399 beyond the current operational limit of 6 months in advance. During the last several  
400 years we have designed, tested and improved EDCM, an ENSO statistical forecasting  
401 model (Petrova et al. 2017 and 2020), with the overarching goal to expand ENSO  
402 statistical predictions to at least one year ahead of the mature phase, and test the  
403 potential for even longer lead times. We were successful in hindcasting the major EN  
404 events (the 1972/73, 1982/83, 1986/87, 1997/98, 2009/10 and 2015/16 ENs) 1.5 years  
405 in advance, in some cases even 2.5 years ahead (Petrova et al. 2020). Here we showcase  
406 our forecast for the winter of 2023/24, indicating that already in October of 2022 (14  
407 months ahead of a presumed ENSO peak in December 2023) it was possible to foresee  
408 a moderate to strong EN development in the tropical Pacific. Moreover, the model  
409 predicts the return of EN even for forecasts initiated 17 and 19 months ahead (i.e. in  
410 July and May of 2022, respectively), albeit the predicted amplitudes are for a much  
411 weaker warm event (Figure 4f and Supplementary Figure 2a).

412 Climate conditions in the tropical Pacific in spring-winter of 2022 were also compatible  
413 with the early onset and evolution of a warm event (Figures 1, 2 and 3), as surface  
414 temperature, zonal wind stress and subsurface temperature anomalies are all consistent  
415 with the EN preceding composite anomalies (see also Figures 6 and 7 of Petrova et al.  
416 2017). It is interesting to note that Figures 2c and d show a decreasing trend in the zonal  
417 wind stress time series, corresponding to overall strengthening of the easterly trade  
418 winds and the Walker Circulation in the last five years (from 2018-2023). This recently

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419 observed trend change and strengthening of the zonal atmospheric circulation in the  
420 tropical Pacific, along with an enhanced warming in the WPAC region (also seen in all  
421 the time series extracted from the subsurface ocean in the WPAC in Figure 3b, d, f and  
422 h) are generally in conflict with the CMIP5 and CMIP6 climate projections for a unified  
423 warming in the equatorial Pacific, and a weakening of the Walker cell (DiNezio et al.  
424 2013, Kociuba et al. 2015). The strengthening of the Walker circulation in recent  
425 observations has also been linked by Heede and Fedorov 2021 and 2023 to global  
426 warming as opposed to natural climate variability proposed by earlier studies  
427 (McGregor et al. 2018, Watanabe et al. 2020).

428 The QB and QQ modes of ENSO variability, corresponding to some of the EDCM  
429 dynamic cyclical components (along with a near annual component), are also in their  
430 growing phases in 2023 (Figure 5), signaling the high probability for an EN to occur.  
431 In Figure 5 we have extended idealized versions of these oscillatory modes, along with  
432 a decadal cycle corresponding to decadal ENSO variability (Petrova et al. 2020). These  
433 cyclical components are time-varying in the model, and their frequency and amplitude  
434 parameters can shift with changes in the overall climatic conditions, and as a result of  
435 atmospheric noise. However, we can see that in the 2023/24 winter season the idealized  
436 versions of the 2-year (QB), 4-year and 5-year cycles (QQ) are all in their peak phases.  
437 In fact, a similar superposition of these cycles occurred in 1997/98, when one of the  
438 biggest EN on record developed, despite the fact that the decadal cycle was at its trough,  
439 as it is also in 2023.

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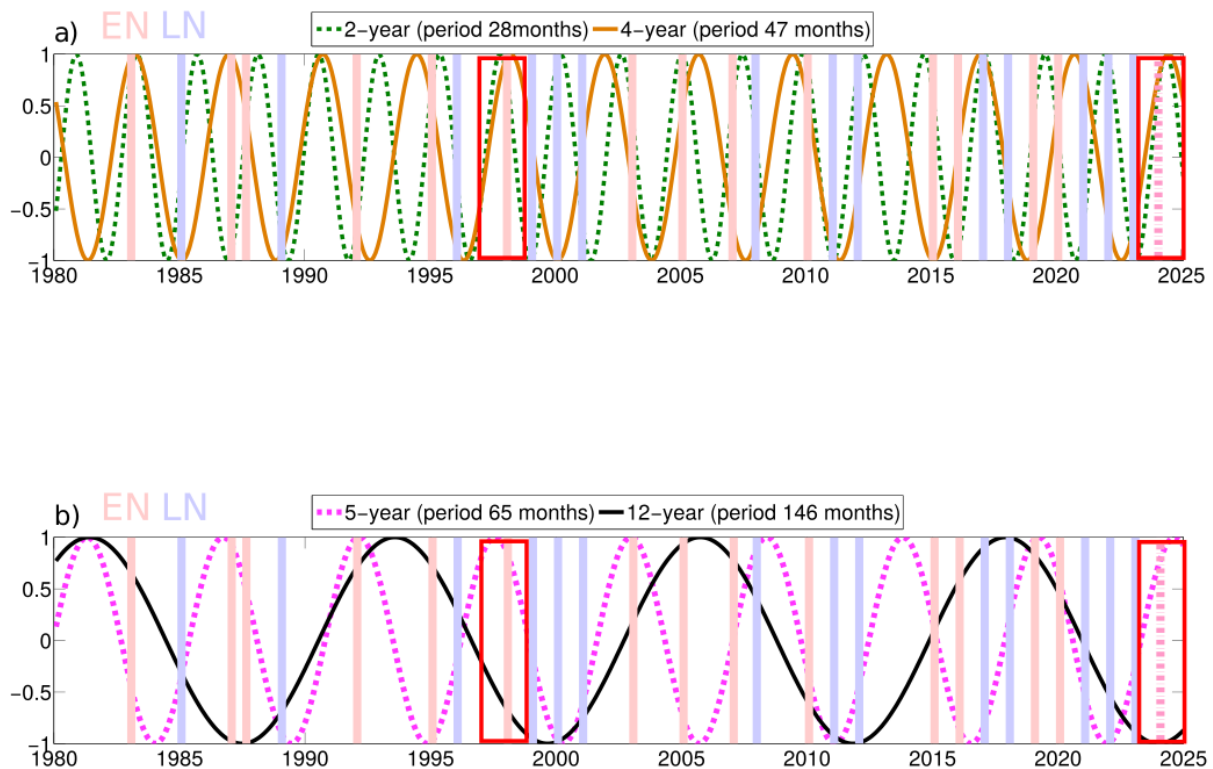
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449 **Fig. 5: Schematic of idealized oscillatory models involved in ENSO variability.** Shown  
 450 are cycles of periodicities corresponding to a) 2 (dashed green) and 4 (solid beige) years, b)  
 451 (dashed magenta) and 12 (solid black) years. Peak months of El Niño (pink lines) and La Niña  
 452 (light blue lines) from the observations are indicated. The dark pink dash-dotted line indicates  
 453 the forecasted El Niño at the end of 2023. Wave amplitudes are not realistic.

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456 For the 2023/24 winter season, our long-term predictions of 11 to 14 months in  
 457 advance all suggest moderate-to-strong EN developing in the Pacific. This is a

458 substantially longer lead time than currently used in operational forecasts. For longer  
459 15 to 19 months lead-times, our forecasts still suggest EN development in 2023/24,  
460 albeit of weaker amplitude (Fig. 4 and Supplementary Fig. 2). Beyond these lead times,  
461 predictions initiated 22 and 24 months in advance suggested neutral conditions instead  
462 of an EN, despite the CI indicated that moderate EN conditions were possible  
463 (Supplementary Fig. 2). These results illustrate strong potential for expanding the  
464 statistical operational ENSO forecasts to 12 and 18 months in advance. Despite the fact  
465 that longer 22 and 24 months forecasts were not feasible at this instance, our success in  
466 predicting some of the previous ENSO events 2-2.5 years in advance (i.e. the 1997/98,  
467 2002/03, 2009/10, 2015/16 ENs, See Petrova et al. 2017 and 2020), suggests that early  
468 ENSO forecasting is an avenue worth exploring further.

469 ENSO predictions are still constrained by the lack of complete physical understanding,  
470 parametrization of key dynamical processes, and by initialization errors due to  
471 imperfect data assimilation in the case of dynamical models, as well as by the lack of  
472 long atmospheric and oceanic historical data in the case of statistical models, in addition  
473 to the uncertainties arising from atmospheric noise (including the so-called spring  
474 barrier), and natural climate variability (Wittenberg 2009, Barnston et al. 2012, Fedorov  
475 et al. 2015). Nonetheless, this study adds to previous ones (Chen et al. 2004; Luo et al.  
476 2008; Izumo et al. 2010; Ludescher et al. 2013, 2014; Petrova et al. 2017; Gonzalez and  
477 Goddard 2016; Ramesh et al. 2017; Luo et al. 2017; Meng et al. 2020, Petrova et al.  
478 2020) in voicing the potential of early ENSO predictions, and call for a reconsideration  
479 and an increase of the official lead time at which operational ENSO forecasting is  
480 performed.

481 Clearly, the information provided by longer lead forecasts is more specific, associated  
482 with more uncertainty, and hence, suited to more specialized applications. In other  
483 words, the longer lead forecasts indicate what is more likely to happen, but are far from  
484 precise. For this reason, it is useful to explore the potential requirements of decision  
485 makers, and tailor the information provided by longer lead ENSO forecasts to those  
486 needs. For example, in health impact assessment infectious disease predictions at longer  
487 lead times based on ENSO information for diseases such as dengue and malaria could  
488 serve for saving resources and for devising optimized intervention plans to control  
489 vector infestations, and help reduce mosquito breeding sites, ultimately lowering the

490 burden of disease and saving lives. In the area of energy production, ENSO has  
491 considerable impact on hydropower, wind power and biomass production, especially in  
492 the more affected areas in the Northwest US, South America, Central America, the  
493 Iberian Peninsula, Southeast Asia and Southeast Australia (Ng et al. 2017). The large  
494 share of hydropower electricity supply in some of these locations means that an ENSO  
495 resilient renewable energy supply will become increasingly important, and the sector  
496 would greatly benefit from long-term ENSO forecasting for a mid-term adjustment of  
497 the energy mix. Some of these systems could be adapted to apply such longer lead  
498 climate information in a probabilistic framework, so that resources could indeed be  
499 optimized, and risks properly estimated on a tailored cost-benefit basis, especially in  
500 less affluent countries and more vulnerable populations. In others the added value of  
501 knowledge so long in advance could be limited for mitigating risks related to climate  
502 variability and extremes. Therefore, such predictions should be promoted to relevant  
503 sectors in a sustainable and targeted way.

504 Given these considerations, it is vital to establish an operational structural framework  
505 for the issuing of such longer lead ENSO forecasts that is also based on local needs and  
506 demands. This role could be taken again by the IRI/CPC, and an additional forecasting  
507 plume could be released on a regular basis, including only models tailored for longer  
508 lead forecasts, along with a consensus ENSO outlook at a lead time of at least 1 year  
509 ahead.

510 In conclusion, we want to stress that ENSO forecasting has advanced to a point when  
511 useful and reliable annual timescale forecasts can be made regularly. Our results here  
512 indicated early on (already in July 2022) that an EN event was expected to mature in  
513 the winter of 2023/24. The event was predicted to be most likely moderate or strong,  
514 but in both cases the expected deviation in the global mean surface temperature as a  
515 result of the release of heat from the equatorial Pacific Ocean to the atmosphere is  
516 expected to be on the order of about 0.1°C or more (Christy and McNider 1994, Wigley  
517 2000). Therefore, 2024 could become the next warmest year on record, and there is  
518 some likelihood that the mean increase of 1.5°C with respect to pre-industrial  
519 temperature levels set as a threshold in the Paris Climate Agreement (Christoff 2016)  
520 could be temporarily breached in the next year, should a stronger El Niño mature in  
521 the eastern tropical Pacific.

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*Data Availability Statement.*

The predictors (time series) for the ENSO model, as well as the Niño3.4 index used here, represent data that were a reanalysis of existing data, which are openly available at locations cited in the reference section. The modelling, estimation and forecasting have been carried out by the software OxMetrics/STAMP and can be downloaded from <https://www.doornik.com/>. A related software package is Time Series Lab and can be found at <https://timeserieslab.com/>.



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